01-24-02

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

Total Pages in this Submission

Docket No.

15115.017001

(Only for new nonprovisional applications under 37 CFR 1.53(b))

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D.C. 20231							
ransmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application and the discontinuous continuous continuou	ation for an						
ovention entitled: OPTICAL DEVICE PROVIDED WITH A RESIN THIN FILM HAVING A MICRO-ASPERITY PATTE MANUFACTURING METHOD AND APPARATUS OF THE OPTICAL DEVICE	CRN AND O E E E E E E E E E E E E E E E E E E						
and invented by:							
Masaaki IKEDA Akihiro FUNAMOTO Motohiko MATSUSHITA Shigeru AOYAMA							
If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:							
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:							
Which is a: Continuation Divisional Continuation-in-part (CIP) of prior application No.:							
Which is a: Continuation Divisional Continuation-in-part (CIP) of prior application No.:							
Enclosed are: Application Elements							
1. X Filing fee as calculated and transmitted as described below							
2. Specification having pages and including the following:							
a. 🗵 Descriptive Title of the Invention							
b. Cross References to Related Applications (if applicable)							
c. Statement Regarding Federally-sponsored Research/Development (if applicable)							
d. Reference to Microfiche Appendix (if applicable)							
e. 🗵 Background of the Invention							
f. 🗵 Brief Summary of the Invention							
g. 🗷 Brief Description of the Drawings (if drawings filed)							
h. 🗵 Detailed Description 22511	11111						
i. 🗵 Claim(s) as Classified Below							
j. 🛮 Abstract of the Disclosure							

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3.	X	Drawing(s) (when necessary as prescribed by 35 USC 113)							
	a.	X	Formal	N	umber of Sheets		17		
	b.		Informal	N	umber of Sheets				
4.	X	Oat	th or Declaratio	on					
	a.	☑ Newly executed (original or copy) ☐ Unexecuted							
	b.		Copy from a	prior app!	ication (37 CFR	1.63(d)) (for continuation/d	livisional application only)	
	C.	X	With Power o	of Attorne	y 🔲 Withou	ut Power	of Attorney		
	d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).							
5.		Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.							
6.		Computer Program in Microfiche (Appendix)							
7.		Nucleotide and/or Amino Acid Sequence Submission (if applicable, all must be included)							
	a.		Paper Copy						
	b.		Computer Re	adable C	opy (identical to	computer	·copy)		
	C.		Statement Ve	erifying Id	entical Paper and	d Comput	er Readable Co	рру	
					Accompanyin	ng Applio	ation Parts		
8.	X	Ass	ignment Paper	rs (cover	sheet & documer	nt(s))			
9.		37 (CFR 3.73(B) S	tatement	(when there is ar	n assigne	e)		
10.		English Translation Document (if applicable)							
11.		Information Disclosure Statement/PTO-1449							
12.		Preliminary Amendment							
13.	×	Ack	knowledgment p	postcard					
14.	X	Cer	rtificate of Mailir	ng					
			First Class	⊠ Exp	ress Mail (Specit	fy Label №	lo.): <u>EV 04924</u>	4414 US	

Application Elements (Continued)

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

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Total Pages in this Submission

Accompanying Application Parts (Continued)

		Accompanying Approximent and (continued)
15.	X	Certified Copy of Priority Document(s) (if foreign priority is claimed) (TO BE PROVIDED)
		Claiming priority from Japanese Application No. 013625/2001Filed 1/22/2001
16.		Additional Enclosures (please identify below):
		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)
17.		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.
		Warning
		An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

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Fee Calculation and Transmittal

CLAIMS AS FILED #Filed For #Allowed #Extra Rate Fee **Total Claims** - 20 = \$18.00 \$18.00 21 1 Х 4 \$84.00 Indep. Claims - 3 = 1 \$84.00 Х \$0.00 Multiple Dependent Claims (check if applicable) \$740.00 **BASIC FEE** \$40.00 OTHER FEE (specify purpose) Assignment **TOTAL FILING FEE** \$882.00 A check in the amount of \$882.00 to cover the filing fee is enclosed. ☑ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. A duplicate copy of this sheet is enclosed. Charge the amount of as filing fee. Credit any overpayment. ☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17. ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b). Signature Jonathan P. Osha, Reg. No. 33,986 Dated: January 22, 2002 ROSENTHAL & OSHA LLP 1221 McKinney Avenue, Suite 2800 Houston, Texas 77010

cc:

Telephone: (713) 228-8600

Facsimile: (713) 228-8778

CERTIFICATE OF I Applicant(s): IKEDA, et	Docket No. 15115.017001						
Serial No.	Filing Date Examiner January 22, 2002		Group Art Unit				
	EVICE PROVIDED WITH A RE FACTURING METHOD AND A						
I hereby certify that the following correspondence: UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)							
(Identify type of correspondence) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under							
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on							
Anne-Marie Santiago (Typed or Pripted Name of Person Mailing Correspondence) (Signature of Person Mailing Correspondence) EV 049244414 US ("Express Mail" Mailing Label Number)							
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